

# Some Representable Functors

We have already stated:

Thm: The Hilbert functor, which to every scheme  $S/k$  associates the set of subschemes  $Y \subseteq \mathbb{P}_S^N$ , flat over  $S$ , whose fibers all have a given Hilbert polynomial  $P$ , is representable by a scheme  $\text{Hilb}^P(X)$ , projective over  $k$ .

In the course of the proof, one has to establish certain properties of the functor:

Def: A contravariant functor  $F: \text{Schemes}_k \rightarrow \text{Sets}$  is

• bounded:  $\exists \exists S$  scheme of finite type/ $k$  and  $X \in F(S)$  a family st ~~the~~ every  $X_0 \in F(k)$  is isomorphic to the fiber  $X_s$  for some  $s \in S$  closed point.

(Here:  $X_s$  is the image of  $X$  in  $F(k)$  induced by

$$\begin{array}{ccc} \text{Spec } k & \rightarrow & S \\ \{s\} & \mapsto & s \in S \end{array} )$$

• separated,  $\forall C/k$  non singular curve and  $p_0 \in C$ ,

$\exists X, X' \in F(C)$  st  $X_p \cong X'_p \forall p \in C \setminus \{p_0\}$

then,  $X_{p_0} \cong X'_{p_0}$ .

• complete:  $\forall C/k$  non singular curve and  $p_0 \in C$ ,

given  $X \in F(C \setminus \{p_0\})$ ,  $\exists X' \in F(C)$  st

$X_p \cong X'_p \forall p \neq p_0$ .

Prop: If  $F$  is represented by  $M$  of finite type/ $k$  then  $F$  is bounded. In that case,

~~M~~ separated (resp. proper)/ $k \iff F$  separated (resp. separated and complete)

To show that the Hilbert functor is bounded, one uses Castelnuovo-Mumford regularity:

Def: A coherent sheaf  $\mathcal{F}$  on a proj. scheme  $X$  is  $m$ -regular if  $H^i(\mathcal{F}(m-i)) = 0 \quad \forall i > 0$ .

(Here:  $\mathcal{F}(k) = \mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X(k)$ .)

Prop (Mumford): If  $\mathcal{F}$  is  $m$ -regular, then  $\mathcal{F}$  is  $m'$ -reg  $\forall m' \geq m$ . Furthermore  $\mathcal{F}(m)$  is gen. by global sections.

Prop: A family  $\mathcal{M}$  of coherent sheaves on a proj. scheme  $X/k$ , all having the same Hilbert pol., is ~~finite~~ bounded (ie, the functor of flat families of sheaves in  $\mathcal{M}$  is bounded) iff  $\exists$  uniform  $m_0$  st all members of  $\mathcal{M}$  are  $m_0$ -regular.

Proof: (outline)

$(\Rightarrow)$   $\mathcal{M}$  bounded  $\Rightarrow \exists S/k$  of finite type and  $\mathcal{F} \in \text{Coh}(X \times S)$ , flat  $/S$ , containing among its fibers at closed points of  $S$  all elements of  $\mathcal{M}$ .  
 $\forall i, n \quad h^i(\mathcal{F}_S(n))$  is u.s. continuous for  $s \in S$ . (flatness)

By looking at the generic points of all irred. components of  $S$   $\rightarrow m_0$  uniform st  $h^i(\mathcal{F}_S(n)) = 0 \quad \forall n \geq m_0$  at these generic points.

By semi cont,  $h^i = 0$  on a dense open subset  $\mathcal{U} \subseteq S$ .  
Let  $S_1 = S - \mathcal{U} \rightarrow$  find  $m_1$  st  $h^i(\mathcal{F}_S(n)) = 0 \quad \forall n \geq m_1$  at the generic points of  $S_1 \rightarrow \mathcal{U}_1 \subseteq S_1$  dense  $\rightarrow S_2 = S_1 - \mathcal{U}_1$   
 $\Rightarrow \mathcal{F}_S$  is  $(\max\{m_i\} + \dim X)$ -regular.

( $\Leftarrow$ ) Suppose  $\exists m_0$  st all elements  $\forall \mathcal{F} \in \mathcal{M}$  are  $m_0$ -regular (ii)  
 $\Rightarrow \mathcal{F}(m_0)$  is globally generated and  $h^i(\mathcal{F}(m_0)) = P(m_0)$   
 $\leftarrow$  Hilbert pol.  
 (since  $h^i = 0$  for  $i > 0$ )

$\Rightarrow \exists \mathcal{O}_X^N \rightarrow \mathcal{F}(m_0)$  for each  $\mathcal{F} \in \mathcal{M}$ ,  $N = h^0(\mathcal{F}(m_0))$

Let  $\mathcal{G}$  be the kernel.

Long exact seq. in cohomology  $\Rightarrow \exists m_0'$  uniform st all  $\mathcal{G}$  are  $m_0'$ -regular. Hence  $\mathcal{G}(m_0')$  is globally gen.  
 Let  $M = h^0(\mathcal{G}(m_0'))$

$\Rightarrow \mathcal{F}$  is determined by the  $M$ -dim subspace  $H^0(\mathcal{G}(m_0'))$  of  $H^0(\mathcal{O}_X^N(m_0'))$

$\rightarrow$  Parametrized by a finite-dim Grassmannian  $Gr$

Over a suitable subspace of  $Gr$  we obtain a family containing all of our initial sheaves  $\mathcal{F}$ . ■

By using this proposition and induction on the dimension (by taking generic hyperplane sections) it can be shown:

Prop: The set of subschemes  $Y$  of  $\mathbb{P}_{\mathbb{C}}^n$  with Hilbert polynomial  $P$  form a bounded family.

Remark:  $Hilb^P(\mathbb{P}_{\mathbb{C}}^n)$  is separated and complete follows, because if  $C$  is a curve and  $\mathcal{U} \subseteq \mathbb{C}$  open, and

if  $Y \subseteq \mathbb{P}_{\mathbb{C}}^n$  is a closed subscheme flat/ $\mathcal{U}$ , then  $\exists!$

$\bar{Y} \subseteq \mathbb{P}_{\mathbb{C}}^n$  flat/ $C$  restricting to  $Y$ : the scheme-theoretic

closure of  $Y$  in  $\mathbb{P}_{\mathbb{C}}^n$ .

Remark: ~~My~~ We can associate to  $Y \subseteq X = \mathbb{P}^n_k$  closed subscheme  $\mathbb{A}^1 \rightarrow \mathbb{A}^1$ . So  $\text{Hilb}^P(X)$  parametrizes all quotients  $\mathcal{O}_X \rightarrow \mathcal{O}_Y \rightarrow 0$  with Hilbert pol.  $P$ .

Generalization: Fix  $\mathcal{E} \in \text{Coh}(X)$ , then  $\text{Quot}_{\mathcal{E}}(X)$  parametrizes all quotients  $\mathcal{E} \rightarrow \mathcal{F} \rightarrow 0$ , and  $\mathcal{F}$  runs through all quotients with given Hilbert pol.

Gröthendieck showed that  $\text{Quot}_{\mathcal{E}}(X)$  is representable by a proj. scheme.

Other variant: the Hilbert-flag scheme parametrizing nested sets of closed subschemes. E.g., for a flag of length 2, fix  $X = \mathbb{P}^n_k$  and  $P, Q$  be Hilbert pol. We consider the functor  $\mathcal{F}$  that for each  $S \in \text{Sch}_k$  assigns a pair of closed subschemes  $Y \subseteq Z \subseteq X \times S$ , both flat/S, and where the fibers of  $Y$  (resp.  $Z$ ) have Hilbert pol.  $P$  (resp.  $Q$ )  $\Rightarrow \mathcal{F}$  is represented by a proj. scheme.

We can also deform a given morphism of schemes/ $k$   $f: X \rightarrow Y$  (keeping  $X, Y$  fixed). A deformation of  $f$  over an Artin ring  $A$  is a morphism  $f': X \times A \rightarrow Y \times A$  s.t.  $f' \otimes k = f$ .

Lemma: To give a defo. of  $f: X \rightarrow Y$  is equivalent to give a defo. of the graph  $\Gamma_f$  as a closed subscheme of  $X \times Y$ .

Prop: To any degn  $f'$  of  $f$  we associate its graph  $\Gamma_{f'} \subseteq X \times Y \times A \rightsquigarrow$  a degn. of  $\Gamma_f$ . (5)

Conversely, given a degn.  $Z$  of  $\Gamma_f$  over  $A$ , we need to verify that it is a graph of some morphism.

The projection  $\varphi_1: Z \rightarrow X \times A$  gives an isomorphism when tensored with  $k$

$Z$  flat /  $A \Rightarrow \varphi_1$  is an isomorphism

$\Rightarrow Z$  is the graph of  $f' = \varphi_2 \circ \varphi_1^{-1}$ .  $\blacksquare$

Prop: Assume that  $Y$  is non-singular. Then the tangent space to the deformation functor of  $f: X \rightarrow Y$  is  $H^0(X, f^* T_Y)$ , and the obstruction to deforming  $f$  lie in  $H^1(X, f^* T_Y)$ .

If  $X$  and  $Y$  are also projective, the deformation functor is also pro-representable.

Prop: We must consider deformations of  $\Gamma_f$  as a closed subscheme of  $X \times Y$ .

Note that  $\Gamma_f = (f \times \text{id})^{-1}(\Delta_Y)$ , where  $\Delta_Y \subseteq Y \times Y$  is the diagonal

$$\{(x, y) \in X \times Y / y = f(x)\}$$

$Y$  non singular  $\Rightarrow \Delta_Y$  l.c.i. in  $Y \times Y$  (locally given by  $\dim Y$  equations)

$$\text{and } \tilde{\mathcal{I}}_{\Delta} / \tilde{\mathcal{I}}_{\Delta}^2 = \Omega_{Y/k}^1 \quad (\text{via the isom } \Delta \cong Y)$$

$\Rightarrow \Gamma_f$  is a l.c.i. with normal bundle  $f^* T_Y$ .  $\blacksquare$

$$0 \rightarrow \mathcal{N}_{\Gamma_f}^{\vee} \rightarrow f^* \Omega_Y^1 \rightarrow \Omega_X^1 \rightarrow \Omega_{X/Y}^1 \rightarrow 0$$

It follows that if  $X$  and  $Y$  are projective,  $Y$  nonsingular, (6)  
 and  $f: X \rightarrow Y$  a morphism. Then, locally around  $[f]$ , the  
 scheme  $\text{Mor}(X, Y)$  can be defined by  $h^1(X, f^*T_Y)$  equations  
 in a nonsingular variety of dimension  $h^0(X, f^*T_Y)$ .

In particular, any irred. component of  $\text{Mor}(X, Y)$   
 through  $[f]$  has dimension at least

$$h^0(X, f^*T_Y) - h^1(X, f^*T_Y)$$

Important case:  $f: \mathbb{P}^1 \rightarrow X$ ,  $X$  smooth projective.

$$\text{Here: } \dim_{[f]} \text{Mor}(\mathbb{P}^1, X) \geq \chi(\mathbb{P}^1, f^*T_X)$$

Riemann-Roch: If  $C$  is a nonsingular curve of genus  $g$   
 and  $E$  is a vector bundle, then:

$$\chi(C, E) = \text{rk}(E) \underbrace{\chi(\mathcal{O}_C)}_{(1-g)} + \underbrace{\text{deg}(E)}_{\substack{\text{deg}(\det E) \\ \text{ii} \\ C \cdot \det E}}$$

$$\text{Here: } C \cong \mathbb{P}^1, E = f^*T_X$$

$$\Rightarrow \dim_{[f]} \text{Mor}(\mathbb{P}^1, X) \geq n - \mathbb{P}^1 \cdot f^*K_X = n - K_X \cdot f_*\mathbb{P}^1$$

Spirit: If  $K_X$  is "negative",  $X$  has a lot of rational  
 curves!